

## Breast Cancer: Role of Hormones Receptors and Tumor Markers

Abdulghani Mohamed Alsamarai, Tikrit University College of Medicine, [TUCOM], Tikrit, Iraq. Email: [abdulghani.Mohamed@tu.edu.iq](mailto:abdulghani.Mohamed@tu.edu.iq), [galsamarrai@yahoo.com](mailto:galsamarrai@yahoo.com);

Mobile: +9647701831295,

ORCID: <http://orcid.org/0000-0002-7872-6691>

Sheylan Salah Abdulla, Erbil Polytechnic University, Health Technical College, Medical Laboratory Technique, Erbil, Iraq.

Email: [sheylan.salah@epu.edu.iq](mailto:sheylan.salah@epu.edu.iq); [sheylan2000@yahoo.com](mailto:sheylan2000@yahoo.com)

Mobile: +9647504244479

ORCID: <http://orcid.org/0000-0001-8584-1391>

Suzan Khaled Mohammed Saraj, Kirkuk Health Authority, Kirkuk, Iraq. Email:

[suzansarag@yahoo.com](mailto:suzansarag@yahoo.com)

Mobile: +9647731625525

ORCID: <https://orcid.org/0000-0002-5512-716X>

Correspondence author: Abdulghani Mohamed Alsamarai, Tikrit University College of Medicine, [TUCOM], Tikrit, Iraq. Email: [abdulghani.Mohamed@tu.edu.iq](mailto:abdulghani.Mohamed@tu.edu.iq), [galsamarrai@yahoo.com](mailto:galsamarrai@yahoo.com); Mobile: +9647701831295,

ORCID: <http://orcid.org/0000-0002-7872-6691>

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### 1. Introduction

Breast cancer is the common malignant disease in Iraqi community and for the first rank for the new cases incidence, death rate and five years prevalence for the year 2020 [1]. In Iraq, new cases of breast cancer for 2020 are 22.2%, while it is 20.9% for Syria, 20.8% for Jordan, 20.6% for Kuwait, 16.4% for Egypt, 14.2% for Saudi Arabia, 12.9% for Iran, and 10.3% for Turkey [1]. The breast cancer etiology still unclear, however, recent studies implicated many risk factors, such as viral infections and hormones [2-8]. Unfortunately, recent studies indicated a shift toward younger age group in breast cancer development [9].

### 2. Hormone receptors

The presence or absence of hormone receptors, including the ER and PR, individually or together has been suggested to be prognostic- and predictive factors for breast cancer.

#### 2.1. Estrogen receptor (ER)

The ER is a member of the nuclear hormone family of intracellular receptors which is activated by the hormone 17 $\beta$ -estradiol [10]. The main function of ER is as a DNA-binding transcription factor which regulates gene expression [11]. Estrogen receptor is of two types ( $\alpha$  and  $\beta$ ) that are coded by separate genes. The  $\beta$  isoform is encoded by the ESR2 gene, while  $\alpha$  isoform is encoded by the ESR1. Hormone-activated ERs form dimers [12]. These two forms of ERs are co-expressed in various cell types including thyroid, bone, adrenals and female rat brain [13]. This may lead to the formation of homodimer ER  $\alpha$  ( $\alpha\alpha$ ) or ER  $\beta$  ( $\beta\beta$ ) or heterodimer ER $\alpha\beta$  ( $\alpha\beta$ ) [14]. There is significant overall sequence homology among the two isoforms [15]. ESR1 is encoded on chromosome 6 (6q25.1) and ESR2 is encoded on chromosome 14 (14q). Both ERs are widely expressed in different tissue types, however, there are some differences in their expression patterns [16]. In breast tissue, ERs are expressed by both normal and malignant cells. About 20% of the Terminal Duct Lobular Units (TDLU) in the breast of premenopausal women express the ER, a value that doubles

during the follicular phase. The average extent of expression of ER by the TDLU cells of postmenopausal women is approximately 50% [17].

Expression of ERs increases dramatically in early hyper-proliferative premalignant lesions ERs are over-expressed in around 70% of breast cancer cases, and are referred to as "ER positive" tumors. With an elevated ratio of ER+ to ER- cells in comparison to normal breast tissue. Moreover, higher expression of ER is associated with higher breast cancer survival [18]. ER+ tumors tend to develop in older women (peaking of 70 years at age), whereas ER- tumors tend to develop at an earlier age (peaking at 50). Mortality from premenopausal ER+ breast cancer is higher in women younger than 35 than in older women [19]. Mammary cells proliferation stimulated by binding of estrogen to ER which contributed to increase in cell division and DNA replication and mutation rate increase. This resulted in to cell cycle disruption, DNA repair processes and apoptosis with subsequent tumor formation. Additionally, estrogen metabolism leads to the production of genotoxic by-products that could directly damage DNA, resulting in point mutations [20].

Recent study in Kirkuk, Iraq, shows that ER is significantly higher in women with breast cancer as compared to controls with odd ratio of 32.25 for local cut-off value and 56.6 for international standard cut-off value [5]. In addition, Area Under Curve determined in ROC analysis indicated an association between breast cancer and ER [5]. Other study in Erbil, Iraq shows that ER is significantly higher in women with breast cancer than in controls and there is a positive significant association between breast cancer and ER serum levels as determined by OR but not when AUC determined using ROC analysis [21]. Age influence serum levels of ER in women with breast cancer [21]. Additionally, the frequency of ER positivity was 66.2% in women with breast cancer, while it was 24% in control group and the difference was highly significant [21].

## **2.2. Progesterone Receptor (PR)**

The progesterone receptor (PR) also known as NR3C3 (nuclear receptor subfamily 3, group C, member 3), is an intracellular steroid receptor that binds progesterone. PR is encoded by the PGR gene which lies on chromosome 11 (11q22) [22]. This gene has two main forms, A and B that differ in their molecular weight (A: 94kDa and B: 114kDa) [23]. These two isoforms are transcribed from distinct, estrogen-inducible promoters within a single-copy PR gene; the only difference between them is that the first 164 amino acids of B are absent in A [24].

PR is expressed in reproductive tissue and has important roles in folliculogenesis, ovulation, implantation and pregnancy [25]. Estrogen is necessary to induce the progesterone receptors (PRs) activity. PRs become hyper phosphorylated upon binding of the steroid ligand. PR phosphorylation is complex, occurring in different cellular compartments and perhaps requiring multiple serine kinases [26]. After progesterone binds to the receptor, restructuring with dimerization follows and the complex enters the nucleus and binds to DNA [27]. There, transcription takes place, resulting in formation of messenger RNA that is translated by ribosomes to produce specific proteins [28]. About 65% of ER-positive breast cancers are also PR-positive and about 5% of breast cancers are ER-negative and PR-positive. If cells have receptors for both hormones or receptors for one of the two hormones, the cancer is considered hormone-receptor positive. [29]. some studies suggest that expression of PR is stimulated by atypical and increasing ratio of PR-A to PR-B, which is almost one in normal breast tissue, but varies extensively in malignant cells [30]. Approximately 60% of invasive breast tumors express PR-A or B [23].

Alobaidi et al [5] found that PR is significantly higher in women with breast cancer as compared to controls and AUC and OR confirm the association between breast cancer development and high serum levels of PR. Abdulla [21] in a case control study in Erbil,

Iraq shows that PR is significantly higher in women with breast cancer than in controls and there is a positive significant association between breast cancer and PR serum levels as determined by AUC determined using ROC analysis, but with OR of 1.134 [21]. Age influence serum levels of PR in women with breast cancer [21]. Additionally, the frequency of PR positivity was 29.1% in women with breast cancer, while it was 17.7% in control group and the difference was significant [21]

### 3. Tumor markers

Tumor markers are biochemical signs of tumor existence and consist of cell surface antigens, cytoplasmic proteins, enzymes and hormones [31], produced by tumor cell or other cells of the body in response to cancer or certain benign(noncancerous) conditions. These substances can be found in the blood, urine, tumor tissue, or in the other tissues [32]. The appearance of tumor markers and their concentrations are related to the genesis and growth of malignant tumors in patients. An ideal tumor marker should be highly sensitive, specific, and reliable with high prognostic value, organ specificity and it should correlate with tumor stages. However, none of the tumor markers reported to date has all these characteristics [33]. In spite of these limitations, for many malignancies, serum tumor markers play an important role in patient management [34]. Tumor markers that correlated with tumor size may be used as tool for diagnosis and monitoring of cancer prognosis. However, they lack specificity and elevation of their levels, although very suggestive, does not always prove the presence or recurrence of cancer and does not predict the number and localization of tumor sites [35]. In breast cancer the most widely used serum markers are carcinoma antigen 15.3 (CA15.3) and carcinoembryonic antigen (CEA). Less widely used markers include CA27.29, tissue polypeptide antigen (TPA), tissue polypeptide specific antigen (TPS) and the shed form of HER-2 [36]. The uses of tumor markers in breast cancer, according to a study done by [37] include the following: i) Aiding early diagnosis, ii) Determining prognosis, iii) Predicting response to therapy, iv) Surveillance after primary treatment, v) Monitoring response to therapy in advanced disease.

#### 3.1. Carcinoma antigen 15.3 (CA15.3)

The CA15.3 is also known as Mucin1 (MUC-1) and it is the most widely used marker in breast cancer [38]. The Mucins are large (> 200 K.D) glycoproteins with a high carbohydrate content (50–90% by weight) expressed by a variety of normal and malignant secretory epithelial cells [39]. The CA15.3 is a transmembranous glycoprotein expressed at the apical cell surface of normal glandular epithelium such as breast, ovary, salivary glands, stomach, pancreas, bladder, uterus, small intestine and colon tissue [40]. It is also present on hematopoietic cells like B cells, and resting as well as activated T cells [41].

Many functions have been proposed for CA15.3. The extensive expression of CA15.3 from mid-gestation throughout adulthood in secretory epithelial tissues and the elevated level of expression found in carcinomas and metastatic lesions suggest functions in epithelial morphogenesis and tumor progression [42]. It can function at several levels: firstly, by steric-hindrance by the large glycosylated extracellular domain, secondly, by remodeling the cytoskeletal networks [43], and finally, by down-regulating the activities of other molecules such as catenins, cadherins, or integrins via signal transduction events [44]. Paradoxically, CA15.3 has been proposed to act both as an adhesive and anti-adhesive molecule as the extended conformation may contribute to its anti-adhesive properties, resulting in reduced cell-cell aggregation and decreased adherence to extracellular matrix [45]. There are differences between CA15.3 expression on normal cells and tumors. For example, the monoclonal antibody demonstrates a significant reactivity with CA15.3 on paraffin embedded breast cancer, but minimal reactivity with normal breast cells or benign breast lesions [46]. On normal cells CA15.3 is located on the apical surface and is extensively

glycosylated, however, in tumors, the usual structure of the tissue is disrupted so that CA15.3 may be found on multiple cell surfaces [47].

Furthermore, abnormal glycosylation in the cancer result in less complex and fewer carbohydrate side chains [48]. Therefore, in tumors, there is a great exposure of CA15.3 epitopes on the immune system, compared to normal cells [49]. Although the function of CA15.3 is not clearly established, it may allow for tumor growth through its interactions with adhesion molecules and lymphocytes. It is commonly found in a variety of malignant tumors including breast cancer, lung cancer, ovarian cancer, and endometrial cancer, carcinoma of pancreas, colon and prostate [47].

In breast cancer lack of sensitivity for early-stage disease combined with a lack of specificity precludes the use of all existing serum markers for early diagnosis of breast cancer [37]. For example , CA15.3 concentrations are increased in 10% of patients with stage I disease , 20% with stage II disease , 40% with stage III disease and 75% with stage IV disease [37]. Increased concentrations of the marker can be found in small proportion of apparently healthy individuals (5%) , in patients with certain benign diseases specially liver diseases and in patients with other types of advanced adenocarcinoma [38, 50].

The available prognostic factors for breast cancer include pathological criteria such as tumor size, tumor grade, and lymph nodes status [51], as well as newer biological factors such as hormone receptors, HER-2, urokinase, plasminogen activator inhibitor [52]. All of these factors require tumor tissue, thus necessitating either biopsy or surgery. Many published studies have addressed the relationship between preoperative concentration of CA15.3 and patient outcome [53]. These studies concluded that high concentrations of the marker (> 35 U/L) at initial presentation predict adverse patient outcome. Indeed, in some studies the prognostic impact of CA15.3 was independent of tumor size and axially node status [54]. Significantly in some reports CA15.3 was found to be prognostic in lymph node negative breast cancer patients, the subgroup in which new prognostic factors are most urgently required [54, 55]. In a study carried out by Molina and Coworkers [56], however, CA15.3 was not prognostic in patients free of axillary nodal metastasis. Although most studies relating to CA15.3 have used preoperative values, concentration during follow-up can also provide prognostic information [37]. Thus, Tampellini and Coworkers [57] reported that patients with CA15.3 values < 30 U/L at the time of first recurrence survived significantly longer than those with higher concentrations. In another report done by Dela and Colleagues [58] found that patients with a CA15.3 lead time of >30 days (i.e. the time between the first abnormal CA15.3 and appearance of clinical manifestation) had a better prognosis than those with a shorter lead time. In that study both the time interval between diagnosis and first abnormal CA15.3 concentration was also of prognostic value. These findings suggest that determination of CA15.3 can provide lead-time prognostic information in patients with breast cancer. Indeed, preoperative concentrations could be combined with existing prognostic factors for selecting patients for adjuvant therapy [37].

In recent years, several reports have shown that serial concentrations of tumor markers increased before radiological or clinical evidence of disease relapse [59]. These studies showed that the mean lead time from marker increase to clinical diagnosis of recurrence varied from 2 to 9 months. The CA15.3 and other mucin related markers may also have a role in predicting response to therapy [60].

The CA 15-3 biomarker is significantly higher in women with breast cancer as compared to controls and a significant positive association between breast cancer and CA15-3 biomarker was demonstrated as determined by odd ratio [4]. Additionally, area under curve determination (AUC=0.99) indicated that CA 15-3 is a predictive biomarker in women with breast cancer [4]. Other study in Erbil, Iraq shows that CA 15-3 is significantly higher in



women with breast cancer than in controls and there is a positive significant association between breast cancer and CA 15-3 serum levels as determined by OR and when AUC determined using ROC analysis [21]. Age not influence serum levels of CA 15-3 in women with breast cancer [21]. Additionally, the frequency of CA 15-3 positivity was 79.1% in women with breast cancer, while it was 57.3% in control group and the difference was highly significant [21]. However, most of the previous studies suggested that CA 15-3 biomarkers is more predictive for monitoring rather than diagnostic marker [61-71].

### **3.2. Carcinoembryonic antigen (CEA)**

The CEA is an oncofetal antigen that was first described by Gold and Freedman [72]. The CEA, a family of glycoproteins, MW~2000 Dalton was first identified in human colon cancer tissue extract [72]. The CEA is a glycoprophosphatidylinositol-linked cell surface glycoprotein of the immunoglobulin gene super family that has been shown to mediate homotypic intercellular adhesion [73]. The normal level of CEA is below 5 ng/ml. However, CEA moderately elevated in 3% of general population and in 19% of smokers who are not with breast cancer [31]. Other non neoplastic conditions associated with elevated CEA levels includes peptic ulcer, inflammatory bowel disease, pancreatitis, hypothyroidism, biliary obstruction and cirrhosis. These false elevations are almost always less than 10 ng/ml and remain stable during serial testing, in contrast to CEA produced by recurrent tumor [74]. Many studies have shown that CEA concentration is known as a marker of malignant transformation and chronic inflammation and it is increased in a variety of cancers e.g. colorectal cancer carcinoma of pancreas, uterine cancer, cancers of the lung, and breast [75].

The CEA was historically considered the standard to which new serum markers are compared [76]. The sensitivity increases with advancing tumor stage: CEA values are elevated in approximately 50% of the patients with tumor extension to lymph nodes and 75% of patients with metastasis [74]. The highest values above 100 ng/ml occur with metastasis [77], although poorly differentiated tumors are less likely to produce CEA [74].

Several studies have reported that positive serum CEA levels at the time of primary breast cancer diagnosis may represent a negative prognostic parameter, and correlate with the stage of disease. Several studies have shown that CEA level decrease or increase may reflect the status of disease progression or regression [78]. The literatures also suggest that CEA may be useful in the post surgical follow-up of breast cancer patients for an early diagnosis of recurrence, and for monitoring response to treatment [79]. The availability of the CA15.3 in the last decade has greatly reduced the value of CEA in breast cancer management, and recent studies discourage the routine use of the CEA assay because of its low sensitivity in both early and advanced diseases compared with CA15.3. Nevertheless CEA is still a widely used test for monitoring breast cancer patients [76].

The mean serum value of CEA was 4 times higher than that in control and odd ratio and ROC curve analysis indicated a positive association between breast cancer and serum levels of CEA [4]. Thus CEA level may be predictable for early diagnosis, monitoring and recurrence of breast cancer. Other study in Erbil, Iraq shows that CEA is significantly higher in women with breast cancer than in controls and there is a positive significant association between breast cancer and CEA serum levels as determined by OR and when AUC determined using ROC analysis [21]. Age influence serum levels of CEA in women with breast cancer [21]. Additionally, the frequency of CEA positivity was 67.6% in women with breast cancer, while it was 0% in control group and the difference was highly significant [21].

### **3.3. P53 protein**

P53 protein was first identified in 1979 as a transformation-related protein. However, almost 10 years later, researchers discovered the oncogenic properties of p53, which was later called "gain of oncogenic function". By the early 1990s, p53 became widely recognized as

the first tumor suppressor gene [80]. High rate (>50%) of p53 tumor suppressor gene mutation human cancers, it has attracted the interest of numerous researchers. P53 mutations can lead either to loss or change of p53 binding activity to its downstream targets and may thus induce aberrant cell proliferation, with consequent malignant cellular transformation [80, 81].

Human p53 protein is a nuclear phosphoprotein of MW 53 kDa. The human TP53 gene is located on the short arm of chromosome 17 [82]. P53 protein contains 393 amino acids and is composed of several structural and functional domains [83]. P53 is needed to keep cells under control, so the properly functioning p53 acts as brakes to the cycle of cell growth, DNA replication and division into two new cells [84], and preventing inappropriate cell proliferation and maintaining genome integrity following genotoxic stress [85]. The capacity of p53 for multiple biological functions can be attributed to its ability to act as a sequence-specific transcription factor, and thus to modulate various cellular processes [80].

The induction of cell-cycle arrest by p53 provides additional time for the cell to repair genomic damage before entering the critical stages of DNA synthesis and mitosis. However, DNA-repair failure may result in the activation of apoptosis [85]. Apoptosis One of the most important roles of p53 is to monitor cellular stress and to induce apoptosis when necessary [85], DNA repair and Inhibition of angiogenesis and metastasis [86].

In Breast Cancer TP53 mutations have been suggested to be an early event in breast carcinomas, while it seems to be a later event in other types of cancer. The frequency and type of mutations vary in different series of breast cancer patients. This may be due to factors such as stage of disease and molecular subtype [87]. P53 mutations are found in 19%-40% of all breast cancers; however certain types of the disease are associated with higher frequencies. For example, in typical medullary carcinomas, p53 mutation occurs in 100% of cases [88].

A potential mechanism for p53 inactivation independent of mutation is alterations identified in both upstream regulatory proteins and downstream p53-induced proteins that may disable or compromise the pathway in breast cancers lacking mutations [89]. Extra nuclear localization of p53 is another mechanism of p53 inactivation independent of mutation. During the cell cycle p53 is differently located in the cell referring that the control of the intracellular localization of p53 is cell cycle regulated [90]. The association between p53 alterations and clinical outcome in breast cancer has been the subject of numerous investigations. The presence of p53 mutations is associated with reduced survival and aggressiveness of breast cancer; therefore it is the most adverse prognostic indicator for both recurrence and death in breast cancer [91]. However, medullary carcinomas are regarded as a prognostically favorable variant. It has been suggested that the diffuse lymphoplasmacytic infiltrate may account for the good prognosis representing a host reaction to tumor cell antigens and the mutated p53 protein may represent one of these antigens [92].

Abdulla in a case-control study in Erbil, Iraq shows that p53 is significantly lower in women with breast cancer than in controls and there is a inverse significant association between breast cancer and p53 serum levels as determined by OR but not when AUC determined using ROC analysis [21]. Age influence serum levels of P53 in women with breast cancer [21]. Additionally, the frequency of p53 positivity was 47.3% in women with breast cancer, while it was 81.2% in control group and the difference was highly significant [21]. Thus p53 may play a potential protective effect in breast cancer.

### **3.4. Cancer Antigen 27.29**

Cancer antigen (CA) 27.29 is a monoclonal antibody to a glycoprotein (MUC1) that is present on the apical surface of normal epithelial cells. CA 27.29 is highly associated with breast cancer; although levels are elevated in several other malignancies [93]. CA 27.29 also

can be found in patients with benign disorders of the breast, liver, and kidney, and in patients with ovarian cysts. However, CA 27.29 levels higher than 100 units per mL are rare in benign conditions [94]. Because of superior sensitivity and specificity, CA 27.29 has supplanted CA 15-3 as the preferred tumor marker in breast cancer. The CA 27.29 level is elevated in approximately one third of women with early-stage breast cancer (Stage I or II) and in two thirds of women with late-stage disease (stage III or IV) [95]. CA 27.29 lacks predictive value in the earliest stages of breast cancer and thus has no role in screening for or diagnosing the malignancy.

Disagreement exists about the ability of CA 27.29 to detect asymptomatic recurrence after curative treatment [96]. In patients at high risk for recurrence of breast cancer (stage II or III) found that CA 27.29 was highly specific and sensitive in detecting preclinical metastasis. The average time from initial elevation of CA 27.29 to onset of symptoms was five months. Because CA 27.29 testing may lead to prompt imaging of probable sites of metastasis, it may be possible to decrease morbidity through earlier institution of therapy [95].

Alobaidi et al [4] found that mean serum level of CA 27-29 was significantly higher in women with breast cancer as compared to controls. In addition, they reported a significant association between breast cancer development and serum levels of CA 27-29 in both OR and AUC determination. Other study in Erbil, Iraq shows that CA 27-29 is significantly higher in women with breast cancer than in controls and there is a positive significant association between breast cancer and CA 27-29 serum levels as determined by OR and AUC using ROC analysis [21]. Age influence serum levels of CA 27-29 in women with breast cancer [21]. Additionally, the frequency of CA 27-29 positivity was 73% in women with breast cancer, while it was 6.2% in control group and the difference was highly significant [21]

### **3.5. Other markers.**

Determination of breast cancer susceptibility antigen 1 (BRCA1) mean serum value was significantly 5 times higher in breast cancer women than that in controls, while BRCA2 was 3 times higher in patients than in controls [4]. OR and AUC confirmed a significant positive association between breast cancer development and positivity of BRCA1 and BRCA2 [4]. Additionally, the frequency of positivity of BRCA1 and BRCA2 in women with breast cancer were 89% and 88% respectively [4]. Thus both markers may be useful for diagnosis and monitoring of breast cancer cases.

In a case-control study serum mean values of estrogen receptors, progesterone receptors, prolactin, HBA1C, glucose, and calcium were significantly higher in women with breast cancer as compared to matched control [5]. While circulating progesterone, estrogen, vitamin D, Insulin Growth Factor-1, and parathyroid hormone valued were significantly lower in women with breast cancer than in controls.[5]. Odd ratio confirm the association between the evaluated biomarkers and breast cancer. Glucose serum levels were with OR 2.7 and AUC of 0.62, HBA1C OR was 3.21 and AUC of 0.56, IGF-1 OR of 42.35 and AUC of 0.23, calcium OR of 86.55 and AUC of 0.99, PTH OR of 57.6 and AUC of 0.999, prolactin OR of 25.8 and AUC of 0.999 and vitamin D OR of 57.6 and AUC of 0.999. the lower AUC was demonstrated for progesterone and estrogen serum levels. Thus estrogen and progesterone serum levels were with low predictive value in breast cancer, in contrast, ER and PR serum levels were with high predictive value in breast cancer [5].

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